

Hardware Subdivision and Tessellation of Catmull-Clark Surfaces

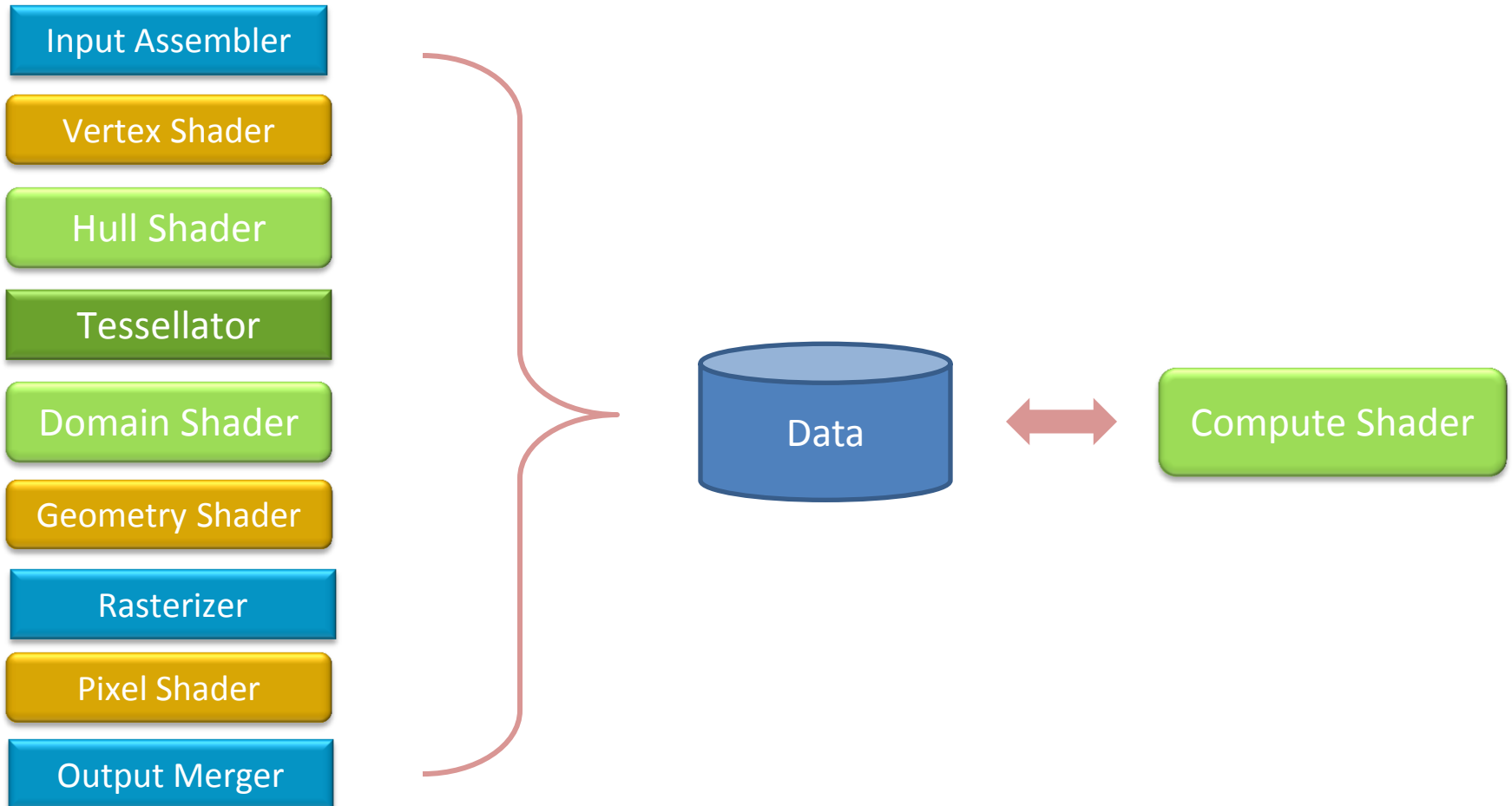
Charles Loop

Microsoft Research

Outline

- DirectX 11 Pipeline
- Subdivision Surfaces
- Gregory Patch Approximation
- GPU Subdivision

DirectX 11 Pipeline



DX Compute

- GPGPU-Computing
- Single shared context
- Cuda-like
 - HLSL Syntax:

Compute Shader

```
[numthreads(8, 1, 1)]  
void Copy(uint3 blockIdx : SV_GroupID,  
          uint3 DTid : SV_DispatchThreadID,  
          uint3 threadIdx : SV_GroupThreadID,  
          uint GI : SV_GroupIndex )  
{  
    Output[8*blockIdx.x + threadIdx.x] =  
        Input[8*blockIdx.x + threadIdx.x];  
}
```

Hardware Tessellation Pipeline

- Three new pipeline stages
 - Hull Shader (programmable)
 - Tessellator Unit (configurable)
 - Domain Shader (programmable)

Input Assembler

Vertex Shader

Hull Shader

Tessellator

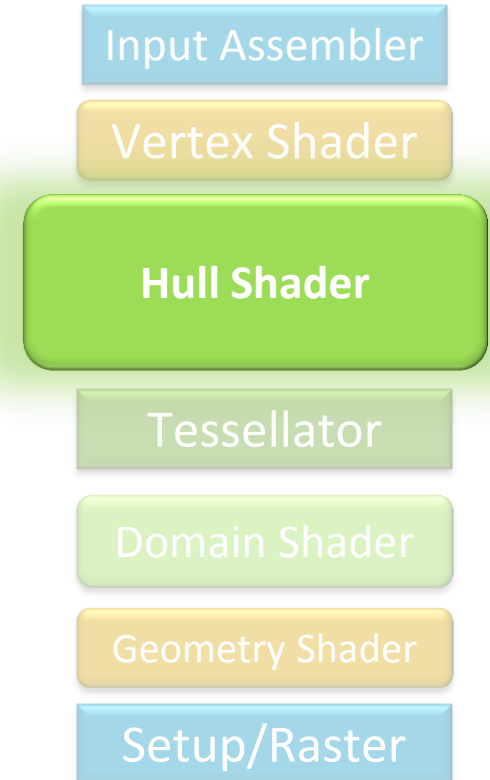
Domain Shader

Geometry Shader

Setup/Raster

Hull Shader (HS)

- Transform input control points
- One thread per output point.
- Hull Shader Constant Function
 - Compute edge and inside tessellation factors

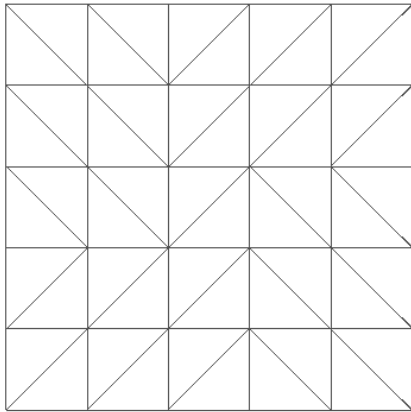
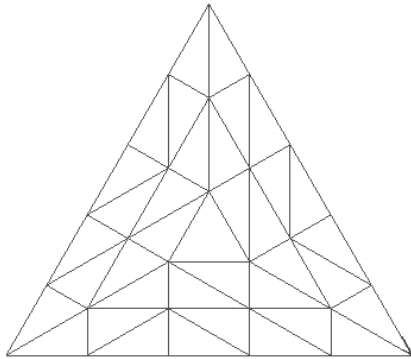


Tessellator (TS)

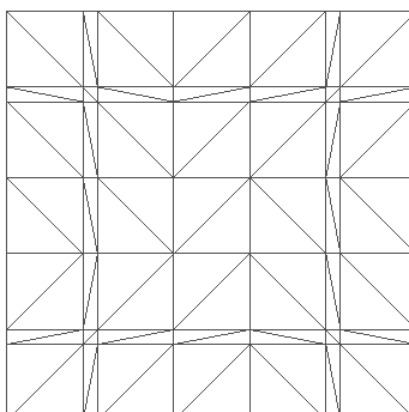
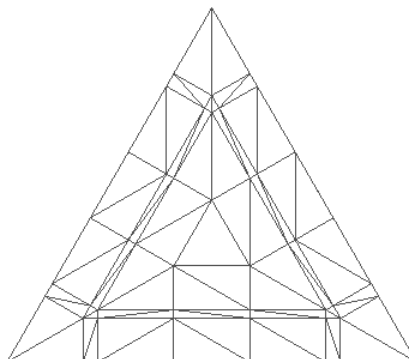
- Fixed function stage, configurable
- Domains:
 - tri, quad, isoline
- Spacing:
 - integer, fractional, pow2



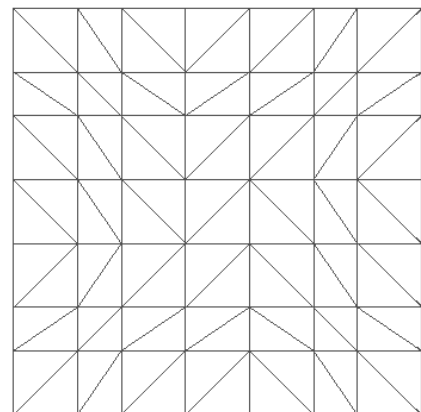
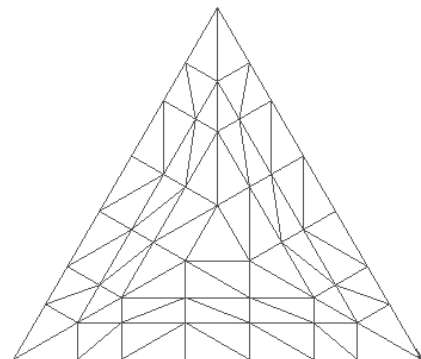
Tessellator (TS)



Level 5



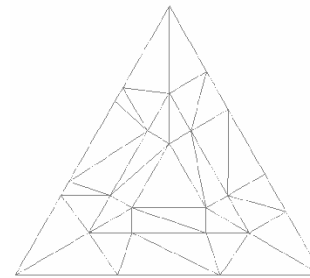
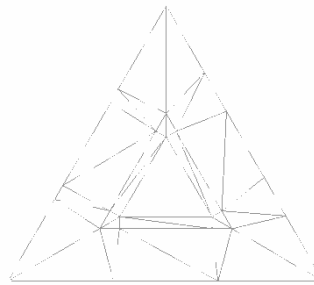
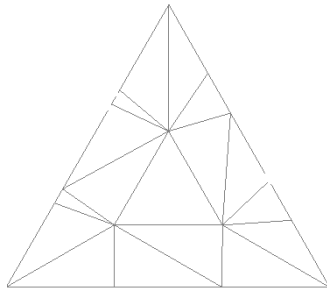
Level 5.4



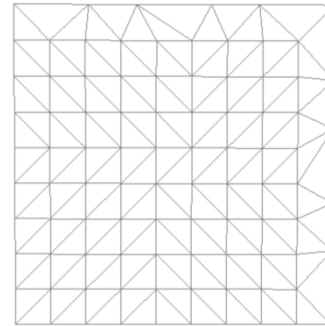
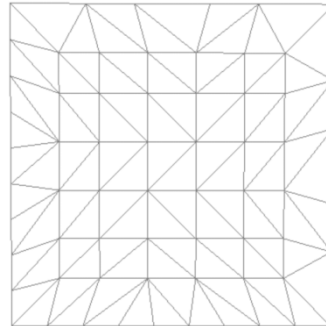
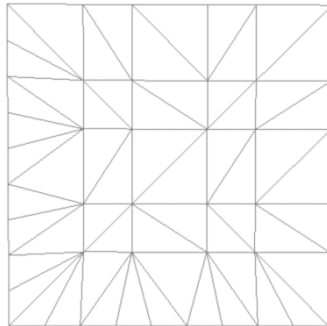
Level 6.6

Tessellator (TS)

Left = 3.5
Right = 4.4
Bottom = 3.0



Top,Right = 4.5
Bottom,Left = 9.0



Inside Tess:
minimum

Inside Tess:
average

Inside Tess:
maximum

Domain Shader (DS)

- Evaluate patch with input parameters $u, v, [w]$
- Interpolate attributes
- Apply displacements
- Output position, normal, etc.

Input Assembler

Vertex Shader

Hull Shader

Tessellator

Domain Shader

Geometry Shader

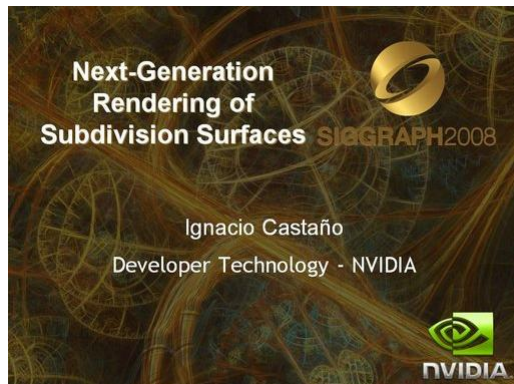
Setup/Raster

Bitwise Consistent Evaluation

- Patches share boundaries
 - Coincident vertices evaluated via different code paths
 - Floating point addition non-commutative

Solution: symmetric or order consistent evaluation

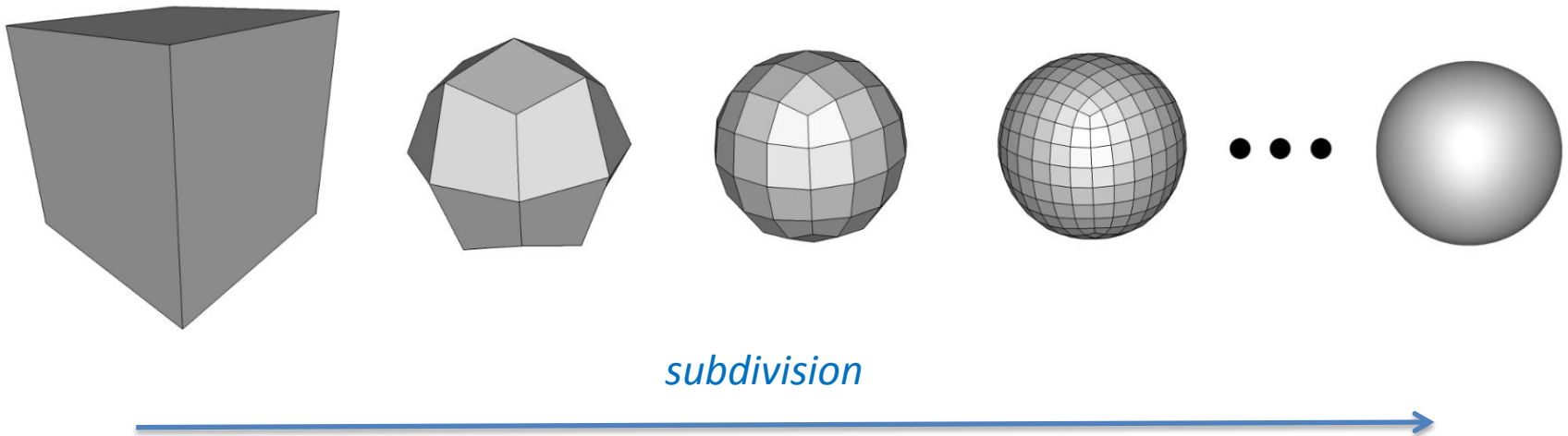
- References <http://developer.nvidia.com>



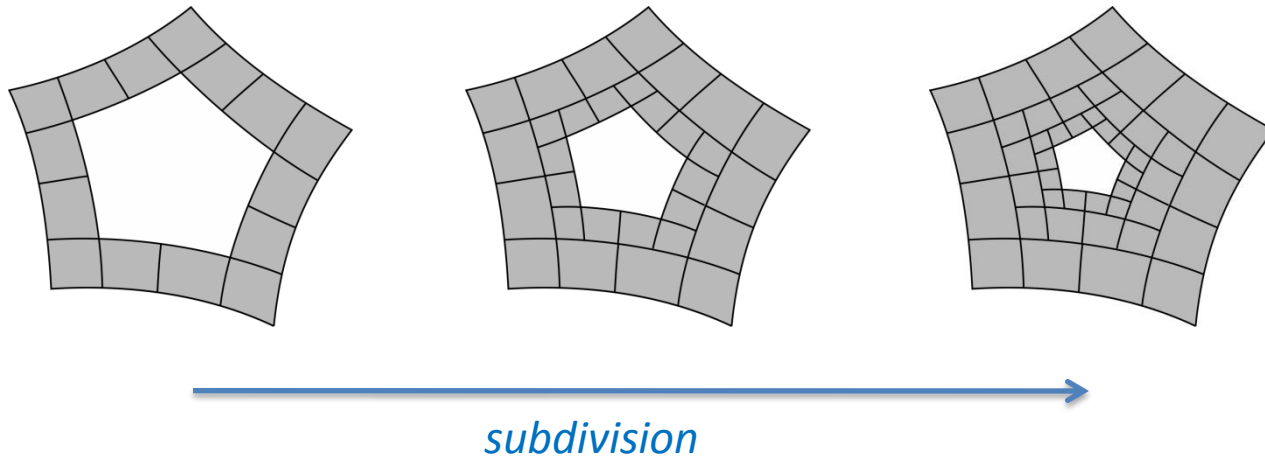
Subdivision Surfaces

Catmull-Clark Subdivision

Catmull, E. AND Clark, J. 1978,
Recursively generated B-spline surfaces on arbitrary topological meshes



Problem: Infinite number of patches

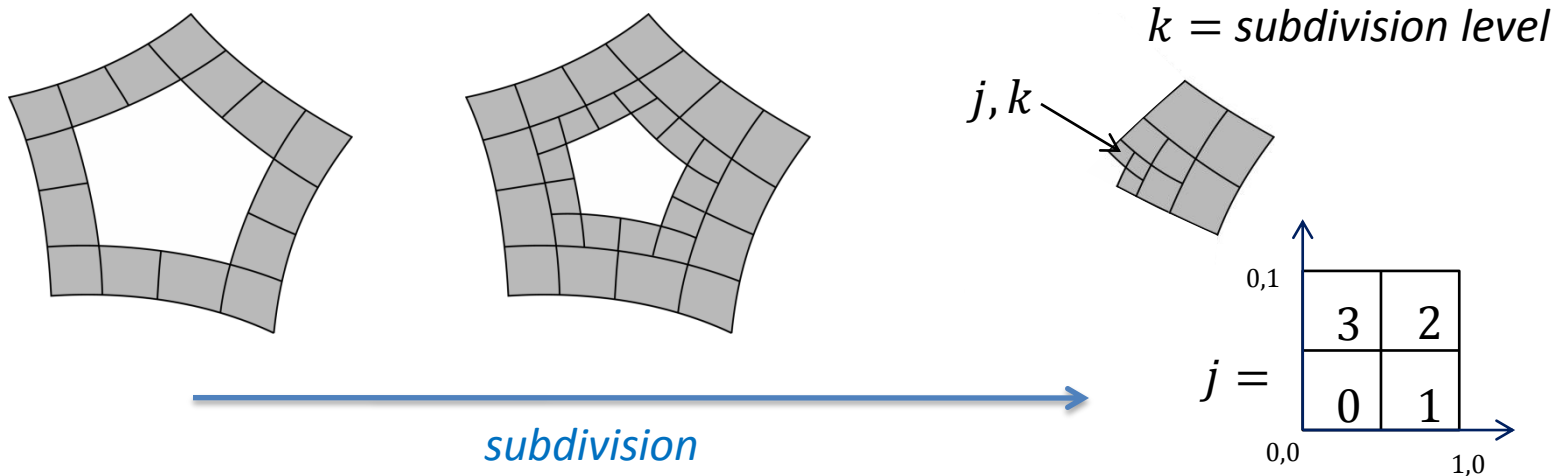


- Poor fit to hardware tessellation paradigm

Stam, J. 1998,

- *Exact evaluation of Catmull-Clark subdivision surfaces at arbitrary parameter values*
- Exact evaluation is possible, but slow

Problem: Infinite number of patches



- Poor fit to hardware tessellation paradigm

Stam, J. 1998,

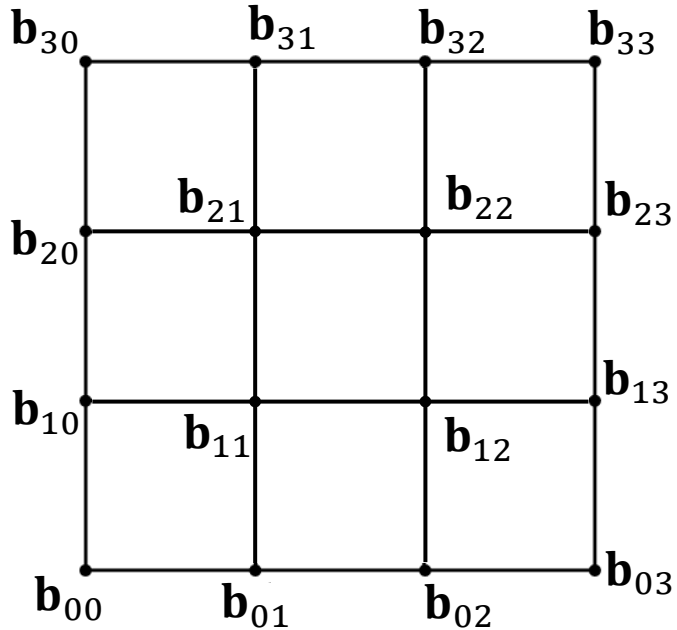
- *Exact evaluation of Catmull-Clark subdivision surfaces at arbitrary parameter values*
- Exact evaluation is possible, but slow

Approximate Subdivision Surfaces

Approximate Subdivision Surfaces

Loop, C. , Schaefer, S. Ni, T., AND Castaño, I. 2009,
Approximating subdivision surfaces with Gregory patches for tessellation hardware

Bicubic Bézier Patch

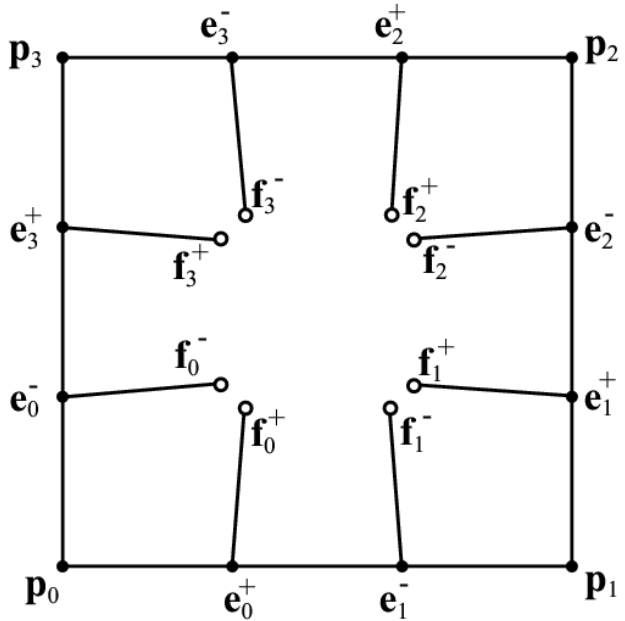


$$B(u, v) = \mathbf{b}^3(u) \cdot \mathbf{B} \cdot \mathbf{b}^3(v)$$

$$\mathbf{B} = \begin{bmatrix} \mathbf{b}_{00} & \mathbf{b}_{01} & \mathbf{b}_{02} & \mathbf{b}_{03} \\ \mathbf{b}_{10} & \mathbf{b}_{11} & \mathbf{b}_{12} & \mathbf{b}_{13} \\ \mathbf{b}_{20} & \mathbf{b}_{21} & \mathbf{b}_{22} & \mathbf{b}_{23} \\ \mathbf{b}_{30} & \mathbf{b}_{31} & \mathbf{b}_{32} & \mathbf{b}_{33} \end{bmatrix}$$

$$\mathbf{b}^3(u) = [(1-u)^3 \quad 3(1-u)^2u \quad 3(1-u)u^2 \quad u^3]$$

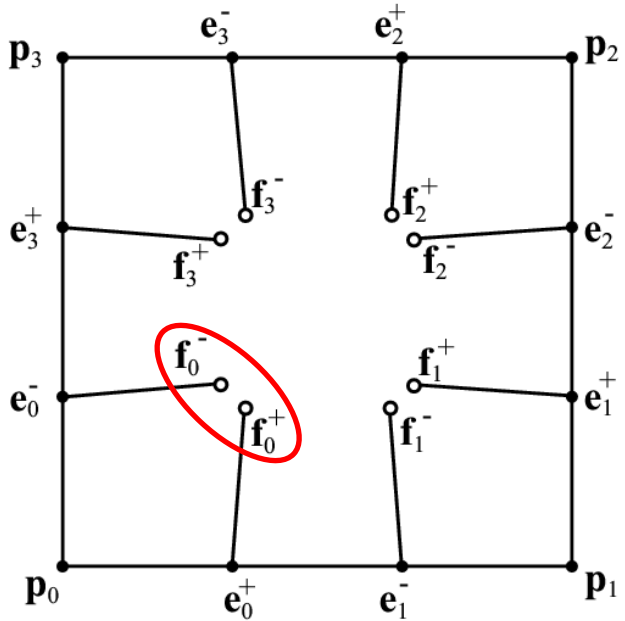
Gregory Quad Patch



$$Q(u, v) = b^3(u) \cdot \mathbf{G}(u, v) \cdot b^3(v)$$

$$\mathbf{G}(u, v) = \begin{bmatrix} \mathbf{p}_3 & \mathbf{e}_0^- & \mathbf{e}_3^+ & \mathbf{p}_2 \\ \mathbf{e}_0^+ & \mathbf{F}_0(u, v) & \mathbf{F}_3(u, v) & \mathbf{e}_3^- \\ \mathbf{e}_1^- & \mathbf{F}_1(u, v) & \mathbf{F}_2(u, v) & \mathbf{e}_2^+ \\ \mathbf{p}_0 & \mathbf{e}_1^+ & \mathbf{e}_2^- & \mathbf{p}_1 \end{bmatrix}$$

Gregory Quad Patch



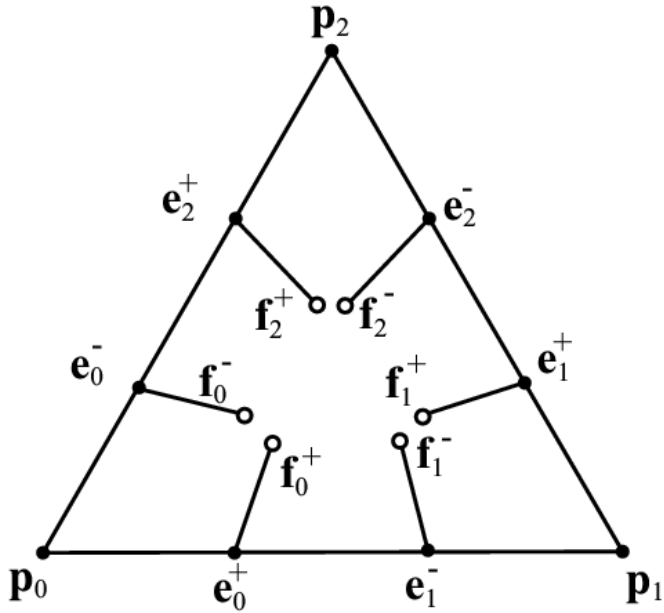
$$Q(u, v) = b^3(u) \cdot \mathbf{G}(u, v) \cdot b^3(v)$$

$$\mathbf{G}(u, v) = \begin{bmatrix} \mathbf{p}_3 & \mathbf{e}_0^- & \mathbf{e}_3^+ & \mathbf{p}_2 \\ \mathbf{e}_0^+ & \mathbf{F}_0(u, v) & \mathbf{F}_3(u, v) & \mathbf{e}_3^- \\ \mathbf{e}_1^- & \mathbf{F}_1(u, v) & \mathbf{F}_2(u, v) & \mathbf{e}_2^+ \\ \mathbf{p}_0 & \mathbf{e}_1^+ & \mathbf{e}_2^- & \mathbf{p}_1 \end{bmatrix}$$

$$\mathbf{F}_0(u, v) = \frac{u \mathbf{f}_0^+ + v \mathbf{f}_0^-}{u + v}$$

$\mathbf{F}_1, \mathbf{F}_2, \mathbf{F}_3$ are similar

Gregory Triangle Patch



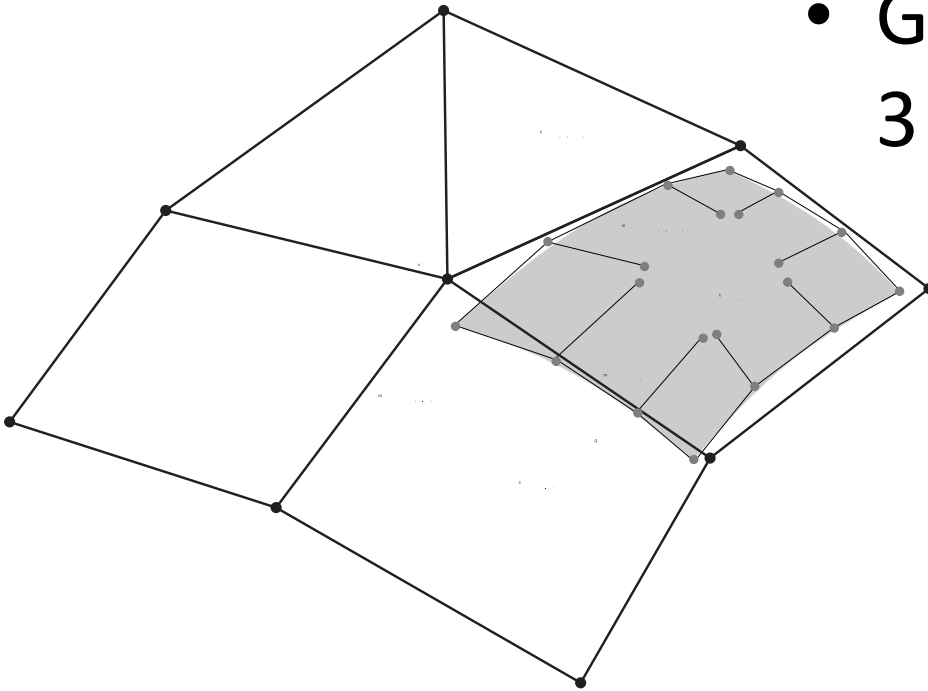
$$\begin{aligned}
 T(u, v, w) = & u^3 \mathbf{p}_0 + v^3 \mathbf{p}_1 + w^3 \mathbf{p}_2 \\
 & + 3uv(u + v)(u\mathbf{e}_0^+ + v\mathbf{e}_1^-) \\
 & + 3vw(v + w)(v\mathbf{e}_1^+ + w\mathbf{e}_2^-) \\
 & + 3wu(w + u)(w\mathbf{e}_2^+ + u\mathbf{e}_0^-) \\
 & + 12uvw(u\mathbf{F}_0 + v\mathbf{F}_1 + w\mathbf{F}_2)
 \end{aligned}$$

$$\mathbf{F}_0(v, w) = \frac{w \mathbf{f}_0^- + v \mathbf{f}_0^+}{v + w}, \quad \mathbf{F}_1(u, w) = \frac{u \mathbf{f}_1^- + w \mathbf{f}_1^+}{w + u}, \quad \mathbf{F}_2(u, v) = \frac{v \mathbf{f}_2^- + u \mathbf{f}_2^+}{u + v}$$

Geometric Construction

Patch Construction

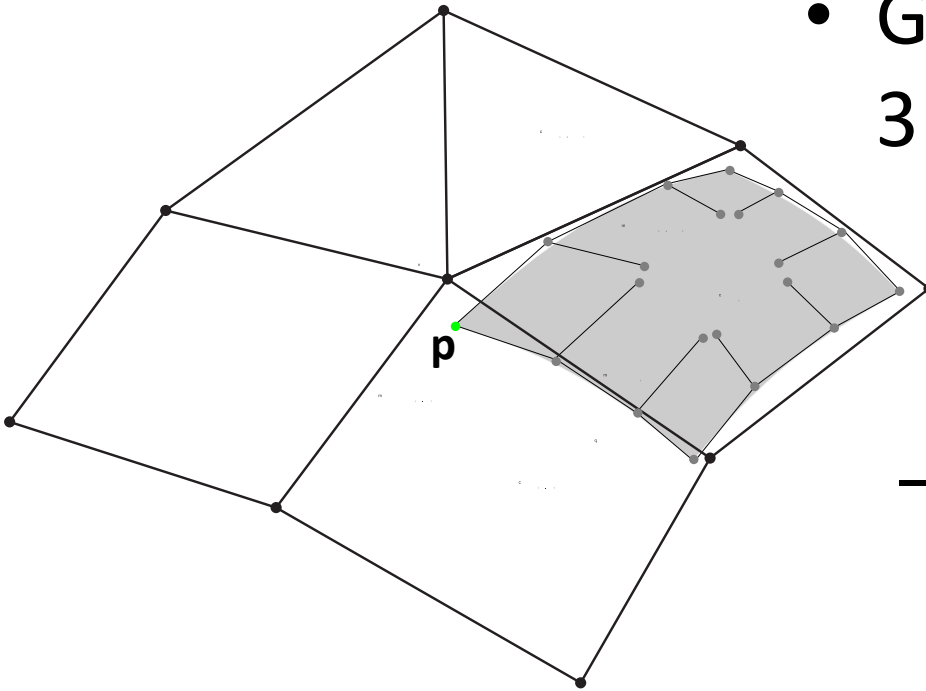
- General construction for 3 or 4 sided faces



Gregory patches in 1-1 correspondence
with control mesh faces

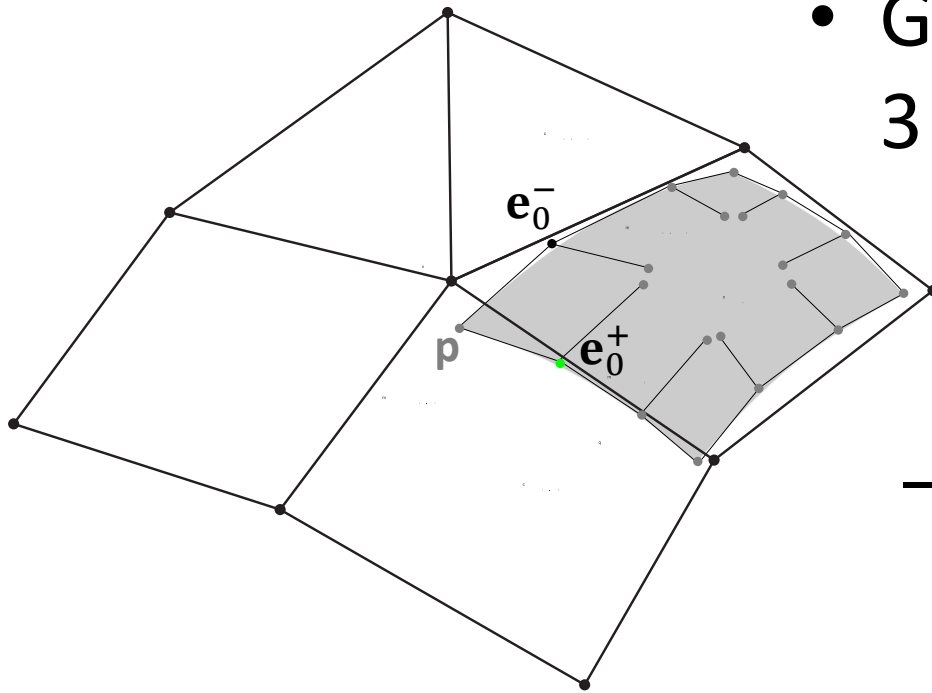
Patch Construction

- General construction for 3 or 4 sided faces



– Corner Points p

Patch Construction

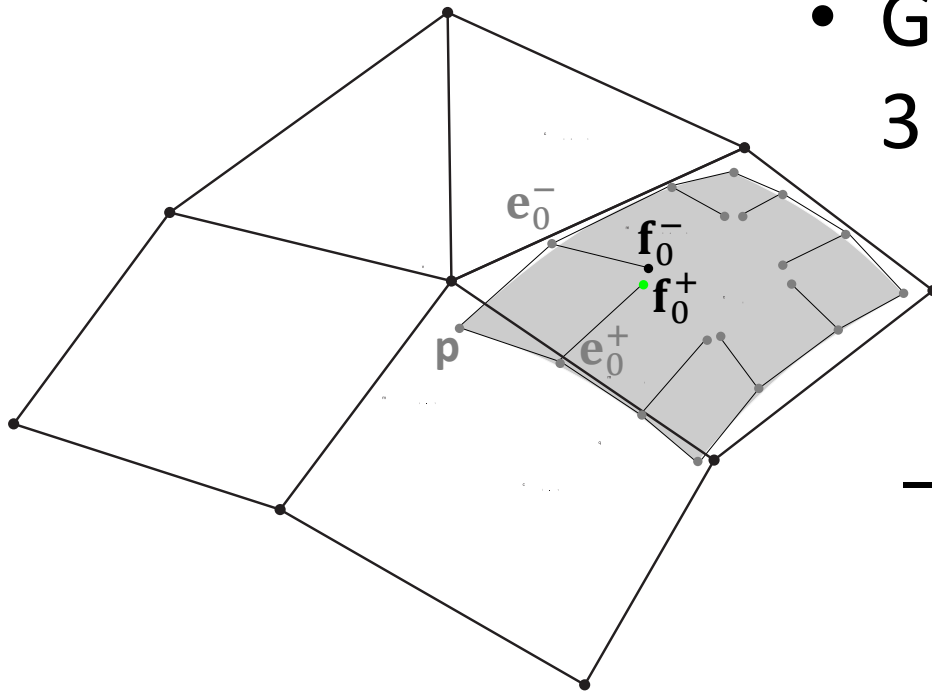


- General construction for 3 or 4 sided faces

– Corner Points $\mathbf{p}$

– Edge Points $\mathbf{e}_0^+$, $\mathbf{e}_0^-$

Patch Construction



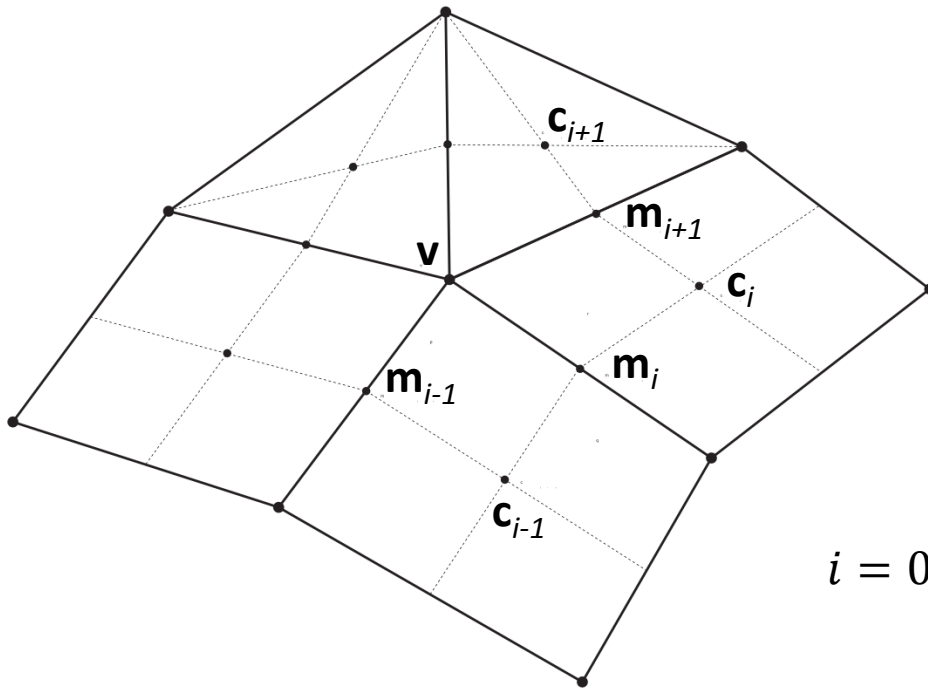
- General construction for 3 or 4 sided faces

– Corner Points $\mathbf{p}$

– Edge Points $\mathbf{e}_0^+$, $\mathbf{e}_0^-$

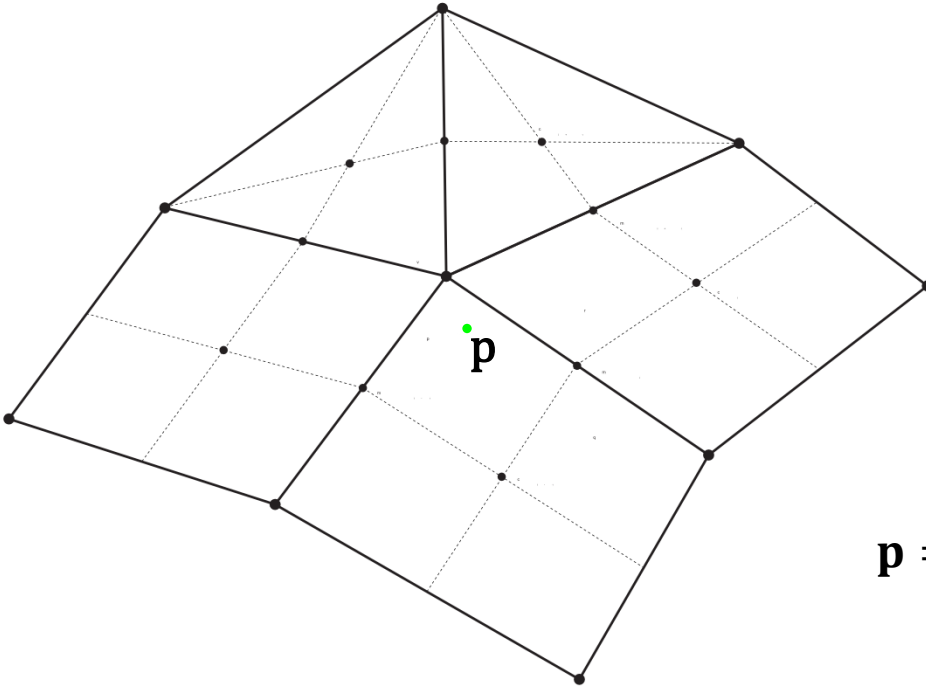
– Face Points $\mathbf{f}_0^+$, $\mathbf{f}_0^-$

Edge Midpoints/Face Centroids



$i = 0, \dots, n - 1$ where n is the valence of v

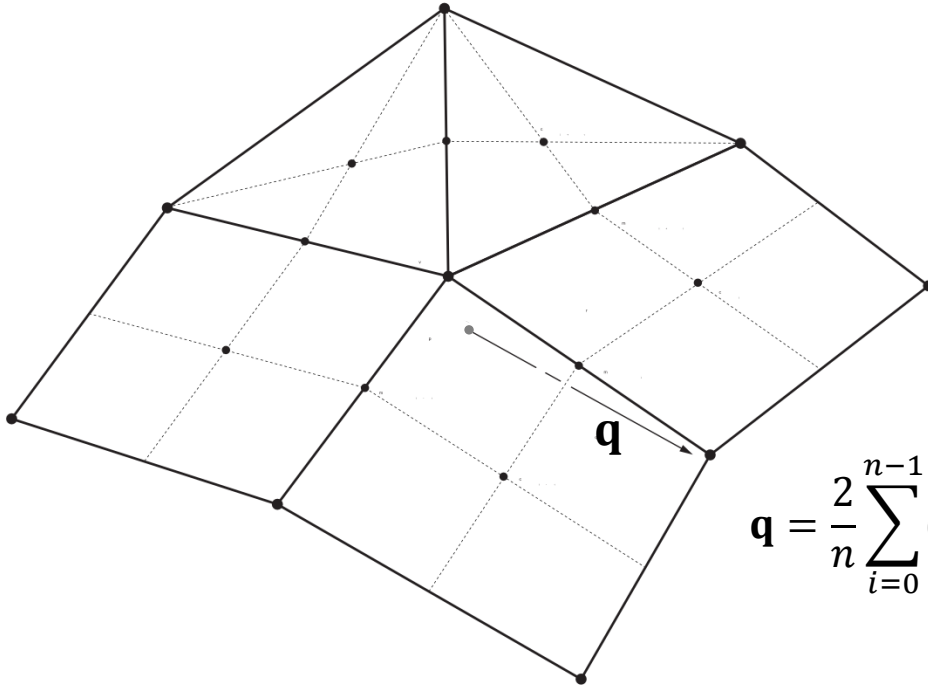
Corner Point



$$\mathbf{p} = \frac{n-3}{n+5} \mathbf{v} + \frac{4}{n(n+5)} \sum_{i=0}^{n-1} (\mathbf{m}_i + \mathbf{c}_i)$$

Interpolate limit position of Catmull-Clark Surface

Edge Points

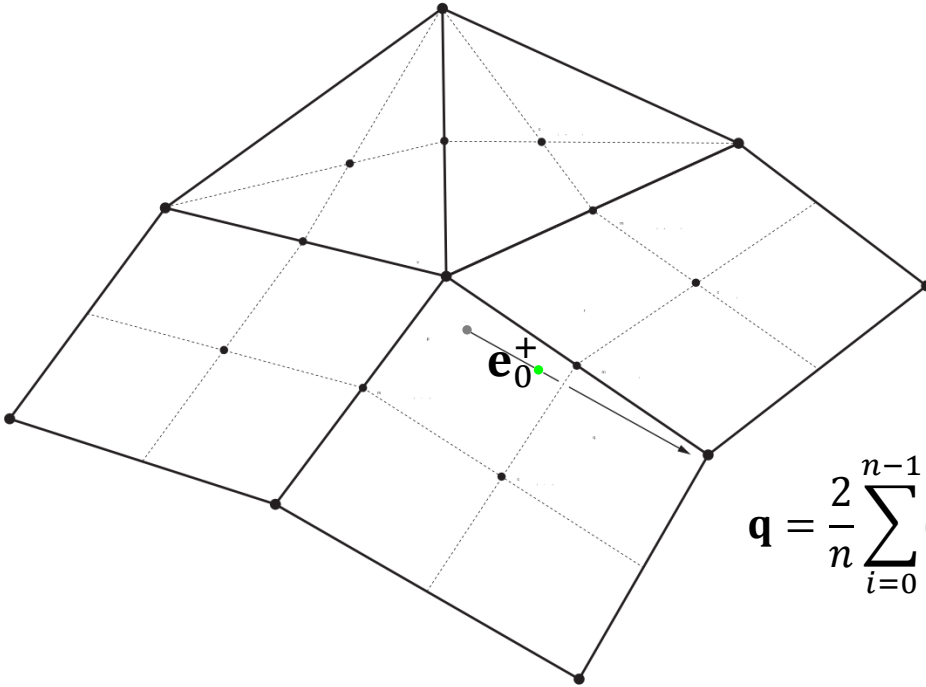


$$\mathbf{q} = \frac{2}{n} \sum_{i=0}^{n-1} \left((1 - \sigma \cos(\frac{\pi}{n})) \cos(\frac{2\pi i}{n}) \mathbf{m}_i + 2\sigma \cos(\frac{2\pi i + \pi}{n}) \mathbf{c}_i \right)$$

$$\sigma = (4 + \cos^2(\frac{\pi}{n}))^{-1/2}$$

Interpolate limit tangent of Catmull-Clark Surface

Edge Points



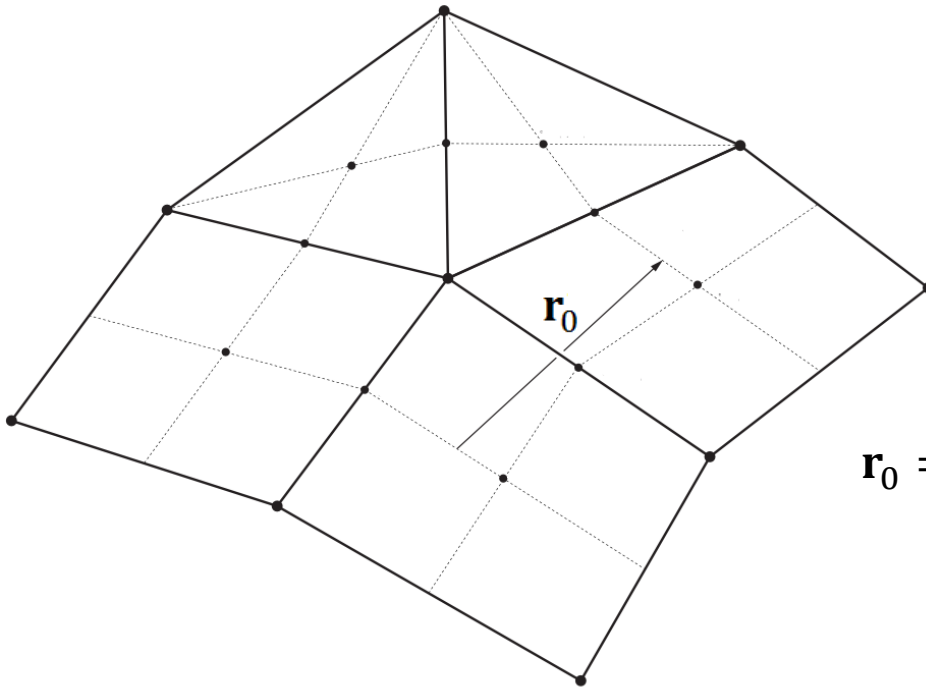
$$\mathbf{q} = \frac{2}{n} \sum_{i=0}^{n-1} ((1 - \sigma \cos(\frac{\pi}{n})) \cos(\frac{2\pi i}{n}) \mathbf{m}_i + 2\sigma \cos(\frac{2\pi i + \pi}{n}) \mathbf{c}_i)$$

$$\sigma = (4 + \cos^2(\frac{\pi}{n}))^{-1/2}$$

$$\mathbf{e}_0^+ = \mathbf{p} + \frac{2}{3} \lambda \mathbf{q}$$

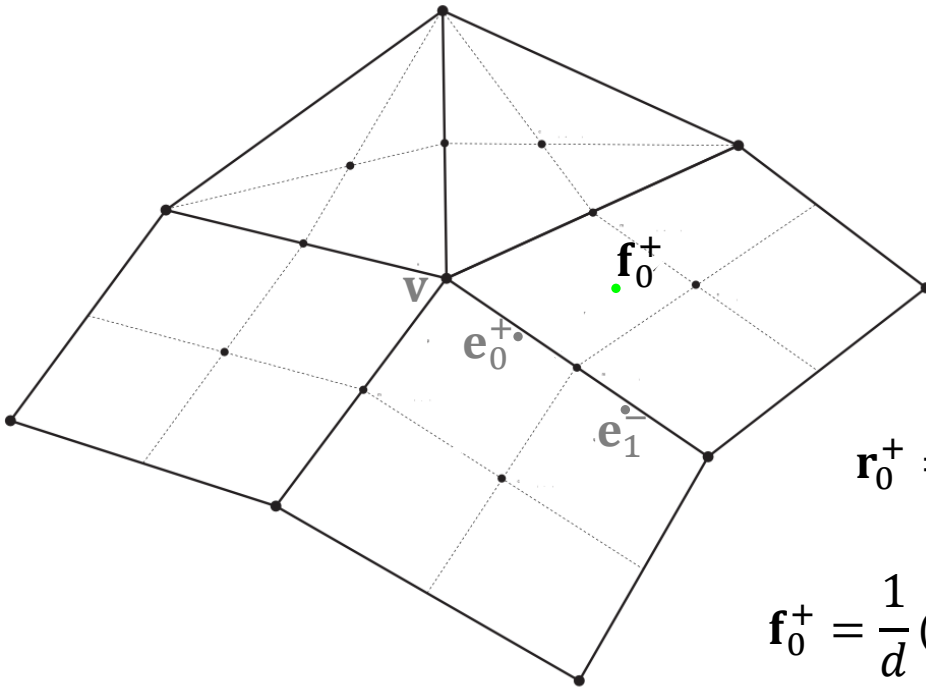
Interpolate limit tangent of Catmull-Clark Surface

Face Points



$$\mathbf{r}_0 = \frac{1}{3}(\mathbf{m}_{i+1} - \mathbf{m}_{i-1}) + \frac{2}{3}(\mathbf{c}_i - \mathbf{c}_{i-1})$$

Face Points



$$\mathbf{r}_0^+ = \frac{1}{3}(\mathbf{m}_{i+1} - \mathbf{m}_{i-1}) + \frac{2}{3}(\mathbf{c}_i - \mathbf{c}_{i-1})$$

$$\mathbf{f}_0^+ = \frac{1}{d}(c_1\mathbf{v} + (d - 2c_0 - c_1)\mathbf{e}_0^+ + 2c_0\mathbf{e}_1^- + \mathbf{r}_0)$$

$$d = \begin{cases} 3 & \text{quad} \\ 4 & \text{triangle} \end{cases}$$

Implementation

Implementation

Two Approaches

Vertex/Hull Shader Approach

- Vertex Shader:
 - Compute $\mathbf{p}, \mathbf{e}_0^+, \mathbf{e}_0^-, \mathbf{r}_i, i = 0, \dots, n - 1$ per vertex
- Hull Shader:
 - Compute $\mathbf{e}_j^+, \mathbf{e}_j^-, \mathbf{f}_j^+, \mathbf{f}_j^-, j = 0 \dots 2$ (or 3)
 - Pass through $\mathbf{p}_j$

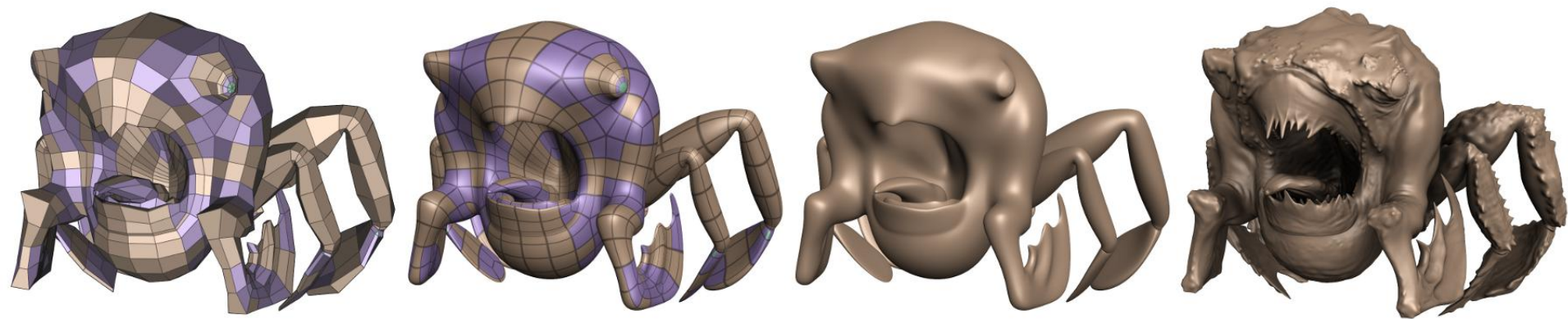
Hull Shader Stencil Approach

- Cluster mesh faces by *neighborhood type*
 - permutation of a face 1-ring neighborhood
 - draw call per neighborhood type
- Neighborhood determines a *stencil matrix*
 - hull shader computes matrix/vector product

Two GPU Approaches

- Vertex/Hull Shaders
 - Exploit vertex-centric nature of computations
 - 3 or 4 point patch primitives
 - *Fat* vertices
- Hull Shader Stencil Approach
 - Map patch construction to hull shader exclusively
 - 6 to 32 point patch primitives
 - *Thin* vertices

Results

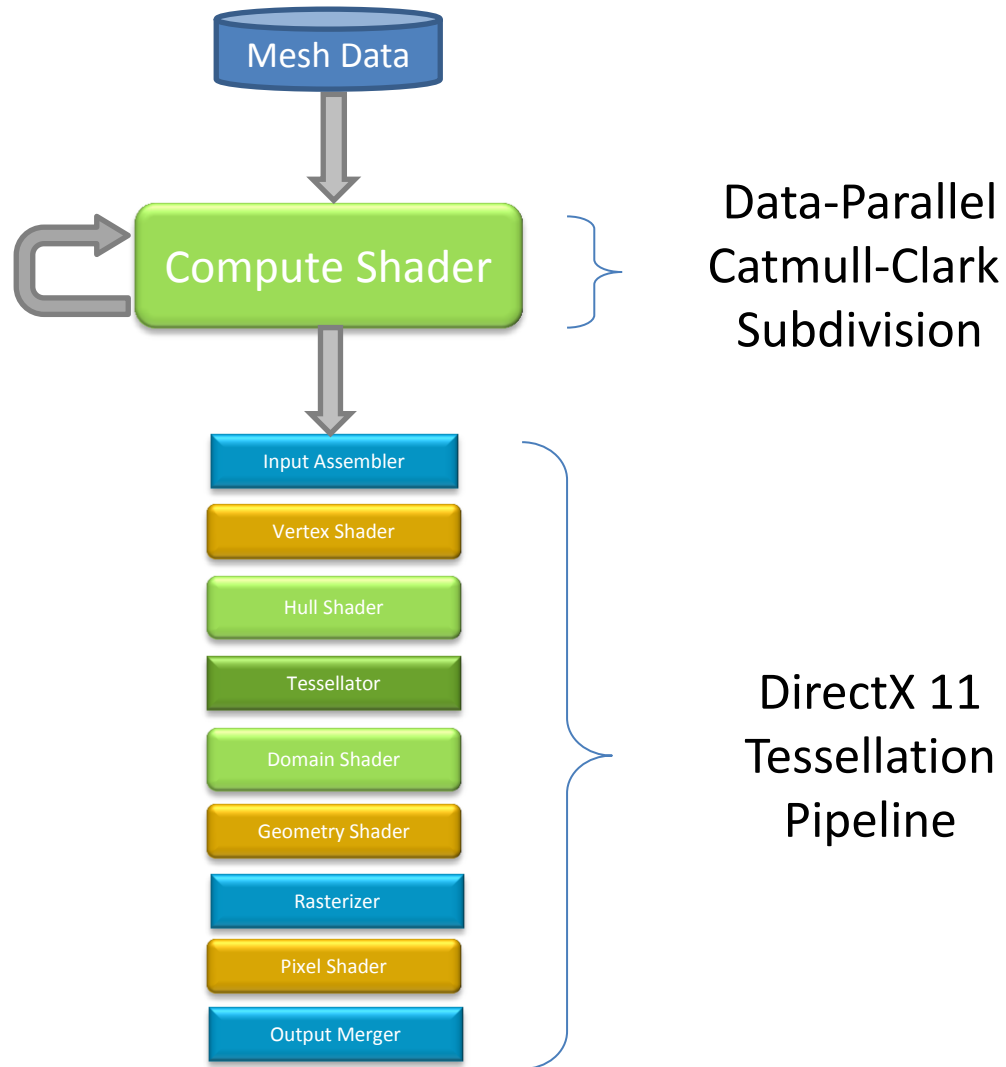


More Results



GPU Subdivision

Hybrid SubD/Tess Data Flow



Subdivision with Tessellation

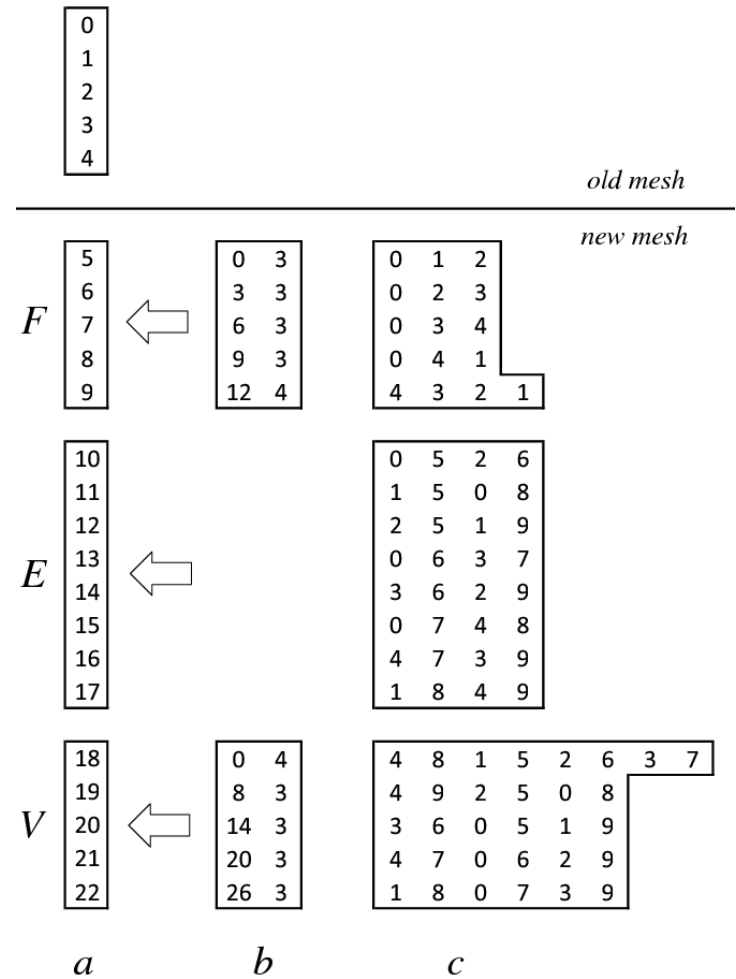
- Simplifies Mesh Connectivity
 - Quad mesh, isolated extraordinary vertices
 - Reduces hull shader matrix combinations
 - Enables more clustering, fewer draw calls
- Performance/Quality Tradeoff
 - Stam's direct evaluation procedure
 - Curvature continuous patching
 - More accurate Gregory approximation

Data-Parallel Subdivision

Catmull-Clark Refinement Rules:

1. Face points - the average of all old points defining a face.
2. Edge points - the average of the two old vertex points and two new face points incident on the edge.
3. Vertex points - the average $\frac{n-2}{n} \mathbf{V} + \frac{1}{n} \mathbf{P} + \frac{1}{n} \mathbf{Q}$ where $\mathbf{V}$ is the old vertex point, $\mathbf{P}$ is the average of the all old vertex points adjacent to the old vertex, and $\mathbf{Q}$ is the average of the new face points of all faces incident to the old vertex.

A 3D diagram of a truncated tetrahedron, a polyhedron with 14 faces (6 hexagons and 8 hexagons) and 20 vertices. The vertices are numbered 0 through 19. The faces are colored: light blue, light green, light purple, light orange, dark blue, and dark red.



Face Kernel

```
[numthreads(8, 4, 1)]
void FacePoint(uint3 blockIdx : SV_GroupID,
               uint3 DTid : SV_DispatchThreadID,
               uint3 threadIdx : SV_GroupThreadID,
               uint GI : SV_GroupIndex )
{
    int FaceIdx = 8 * blockIdx.x + threadIdx.x;

    if (FaceIdx < F0){
        int h = F0_ITa[2*FaceIdx];
        int n = F0_ITa[2*FaceIdx+1];

        float q = 0.0f;
        for (int j=0; j<n; j++){
            q += VB[SRC_OFFSET + 4*F0_IT[h++]] + threadIdx.y;
        }
        q /= ((float)n);

        VB[DEST_OFFSET_1 + 4*(FaceIdx) + threadIdx.y] = q;
    }
}
```

Edge Kernel

```
[numthreads(8, 4, 1)]
void EdgePoint(uint3 blockIdx : SV_GroupID,
               uint3 DTid : SV_DispatchThreadID,
               uint3 threadIdx : SV_GroupThreadID,
               uint GI : SV_GroupIndex )
{
    int EdgeIdx = 8 * blockIdx.x + threadIdx.x;

    if (EdgeIdx < E0){
        float q = 0.25f*(
            VB[SRC_OFFSET + 4*E0_IT[4*EdgeIdx+0] + threadIdx.y] +
            VB[SRC_OFFSET + 4*E0_IT[4*EdgeIdx+1] + threadIdx.y] +
            VB[SRC_OFFSET + 4*E0_IT[4*EdgeIdx+2] + threadIdx.y] +
            VB[SRC_OFFSET + 4*E0_IT[4*EdgeIdx+3] + threadIdx.y] );

        VB[DEST_OFFSET_2 + 4*EdgeIdx + threadIdx.y] = q;
    }
}
```

Vertex Kernel

```
[numthreads(8, 4, 1)]
void VertexPoint(uint3 blockIdx : SV_GroupID,
                 uint3 DTid : SV_DispatchThreadID,
                 uint3 threadIdx : SV_GroupThreadID,
                 uint GI : SV_GroupIndex)
{
    int VertexIdx = 8 * blockIdx.x + threadIdx.x;

    if (VertexIdx < V0){
        int h = V0_ITa[2*VertexIdx];
        int n = V0_ITa[2*VertexIdx+1];

        float q = 0.0f;
        for (int j=0; j<n; j++){
            q += VB[SRC_OFFSET + 4*V0_IT[h+2*j] + threadIdx.y]
                + VB[SRC_OFFSET + 4*V0_IT[h+2*j+1] + threadIdx.y];
        }

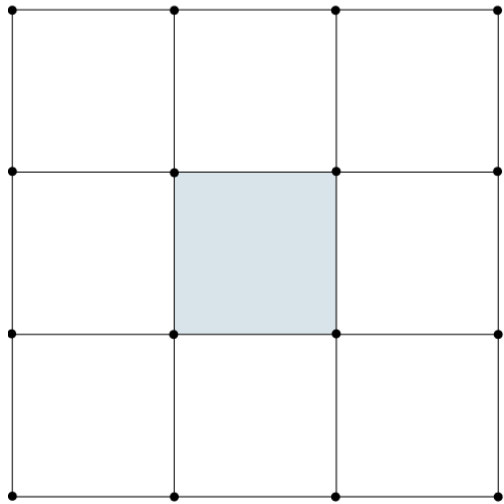
        float fn = (float)n;

        float wv = (fn-2)/fn;
        float wp = 1.0f/(fn*fn);

        VB[DEST_OFFSET_3 + 4*VertexIdx + threadIdx.y] =
            wv*VB[SRC_OFFSET + 4*VertexIdx + threadIdx.y] + wp*q;
    }
}
```

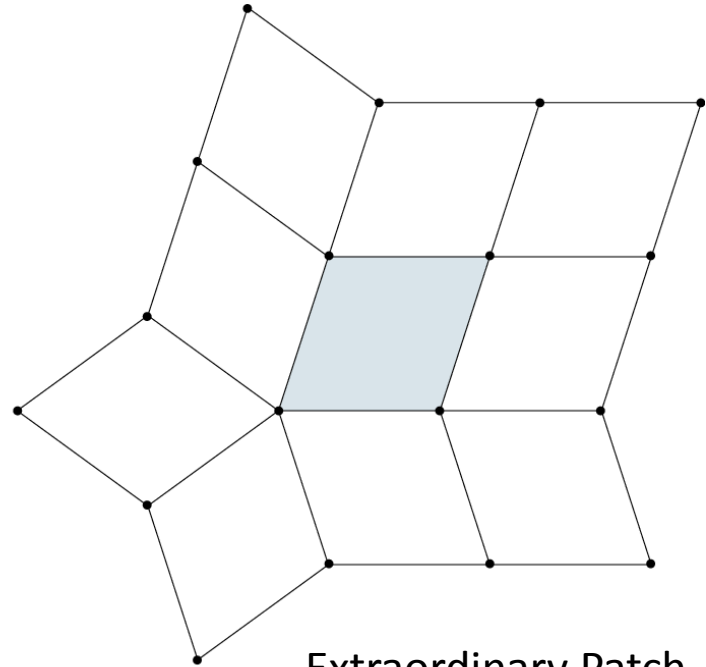
Tessellator Pipeline Stage

Simplified Patch Input



Regular Patch

16 control points



Extraordinary Patch

$2n + 8$ control points

Regular Patches

- Hull Shader
 - B-spline to Bézier $\mathbf{B} = \mathbf{Q} \cdot \mathbf{D} \cdot \mathbf{Q}^T$ 60 FLOPS
- Domain Shader
 - $pos = \mathbf{u} \cdot \mathbf{B} \cdot \mathbf{v}$, $normal = (\mathbf{du} \cdot \mathbf{B} \cdot \mathbf{v}) \times (\mathbf{u} \cdot \mathbf{B} \cdot \mathbf{dv})$
 - Reverse DeCastlejau pyramid 162 FLOPS

Domain Shader

```
float u[4], du[4];
float3 uB[4], duB[4];

CubicBezier(uv.x, u, du);

for (uint i = 0; i < 4; i++) {
    uB[i] = float3(0, 0, 0);
    duB[i] = float3(0, 0, 0);

    for (uint j = 0; j < 4; j++) {
        float3 A = B[4*i + j];

        uB[i] += u[j] * A;
        duB[i] += du[j] * A;
    }
}

float3 WorldPos = float3(0, 0, 0);
float3 Tangent = float3(0, 0, 0);
float3 BiTangent = float3(0, 0, 0);

CubicBezier(uv.y, u, du);

for (i = 0; i < 4; i++) {
    WorldPos += uB[i] * u[i];
    Tangent += duB[i] * u[i];
    BiTangent += uB[i] * du[i];
}
```

Basis Functions

```
void CubicBezier(in float u, out float b[4], out float d[4])
{
    float t = u;
    float s = 1.0 - u;

    float a0 = s * s;
    float a1 = 2 * s * t;
    float a2 = t * t;

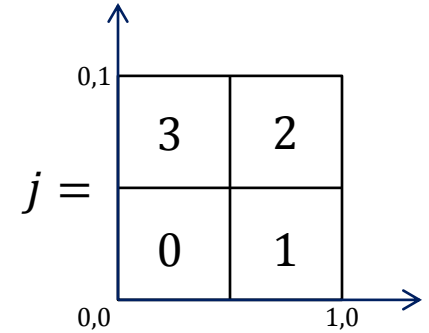
    b[0] =          s * a0;
    b[1] = t * a0 + s * a1;
    b[2] = t * a1 + s * a2;
    b[3] = t * a2;

    d[0] =      - a0;
    d[1] = a0 - a1;
    d[2] = a1 - a2;
    d[3] = a2;
}
```

Exact Evaluation of the Limit Surface

- Stam's algorithm

$$- s_{j,k}(u, v) = b(u, v) \cdot P_j \cdot S^k \cdot \mathbf{v}_0$$

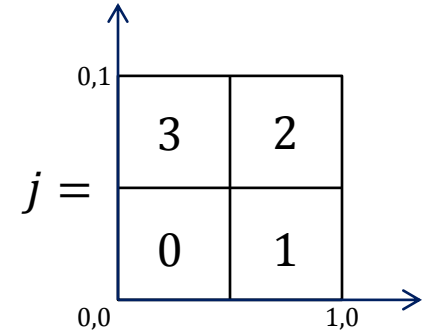


$k = \text{subdivision level}$

Exact Evaluation of the Limit Surface

- Stam's algorithm

$$- b(u, v) \cdot P_j \cdot (V \cdot \Lambda^k \cdot V^{-1}) \cdot \mathbf{v}_0$$



$k = \text{subdivision level}$

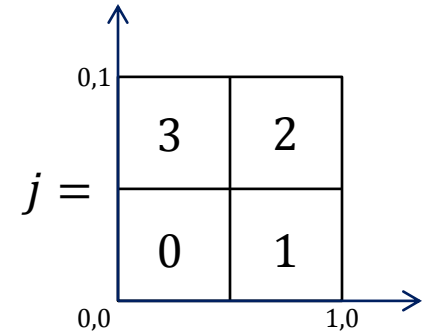
Exact Evaluation of the Limit Surface

- Stam's algorithm

$$- \underbrace{b(u, v) \cdot P_j \cdot V \cdot \Lambda^k \cdot V^{-1} \cdot \mathbf{v}_0}_{\text{eigen basis functions}} \quad \underbrace{\phantom{b(u, v) \cdot P_j \cdot V \cdot \Lambda^k \cdot V^{-1} \cdot \mathbf{v}_0}}_{\text{eigen space projection}}$$

eigen basis functions
 $2n + 8$ bicubic
polynomials

eigen space projection
 $(2n + 8) \times (2n + 8)$ matrix-vector
multiply



$k = \text{subdivision level}$

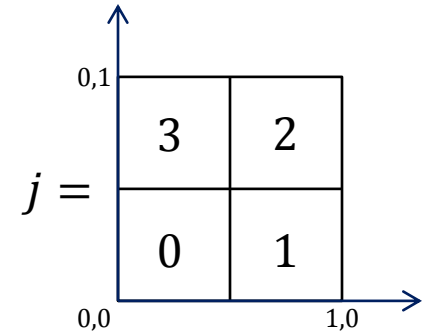
Exact Evaluation of the Limit Surface

- Stam's algorithm

$$- \underbrace{b(u, v) \cdot P_j \cdot V \cdot \Lambda^k}_{\text{eigen basis functions}} \cdot \underbrace{V^T \cdot \mathbf{v}_0}_{\text{eigen space projection}}$$

eigen basis functions
 $2n + 8$ bicubic
 polynomials

eigen space projection
 $(2n + 8) \times (2n + 8)$ matrix-vector
 multiply



$k = \text{subdivision level}$

- Hull Shader

- Eigen space projection
- $2n + 8$ threads, $6n + 24$ FLOPS

- Domain Shader

- Determine j, k , raise Λ to k^{th} power, remap (u, v) , evaluate eigen basis functions and derivatives, form sum-of-products
- $108n + 534$ FLOPS

Curvature Continuous Patching

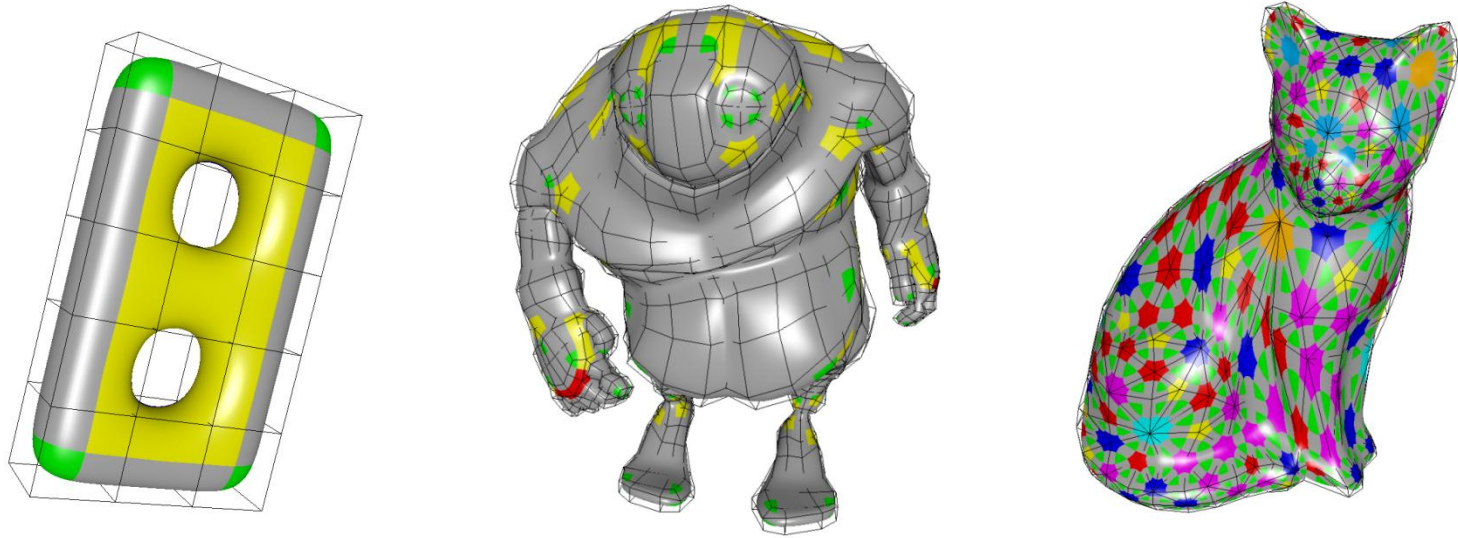
- Loop, C. AND Schaefer, S. 2008,
G² tensor product splines over extraordinary vertices
 - Bicubic patch per regular quad
 - Biseptic patch per irregular quad (64 coefficients)
 - $2n + 8$ precomputed basis functions
- Hull Shader
 - max output: 32 vertices, pack 2 into 1
 - 32 threads, $12n + 48$ FLOPS per thread
- Domain Shader
 - Independent of valence n 568 FLOPS

Gregory Patch Approximation

- Complexity Reduction
 - from: quads & tris, 1000's HS matrices
 - to: 9 cases ($n = 3, \dots, 12$)
- Hull Shader
 - 20 threads, $6n + 24$ FLOPS per thread
- Domain Shader
 - 174 FLOPS

Results

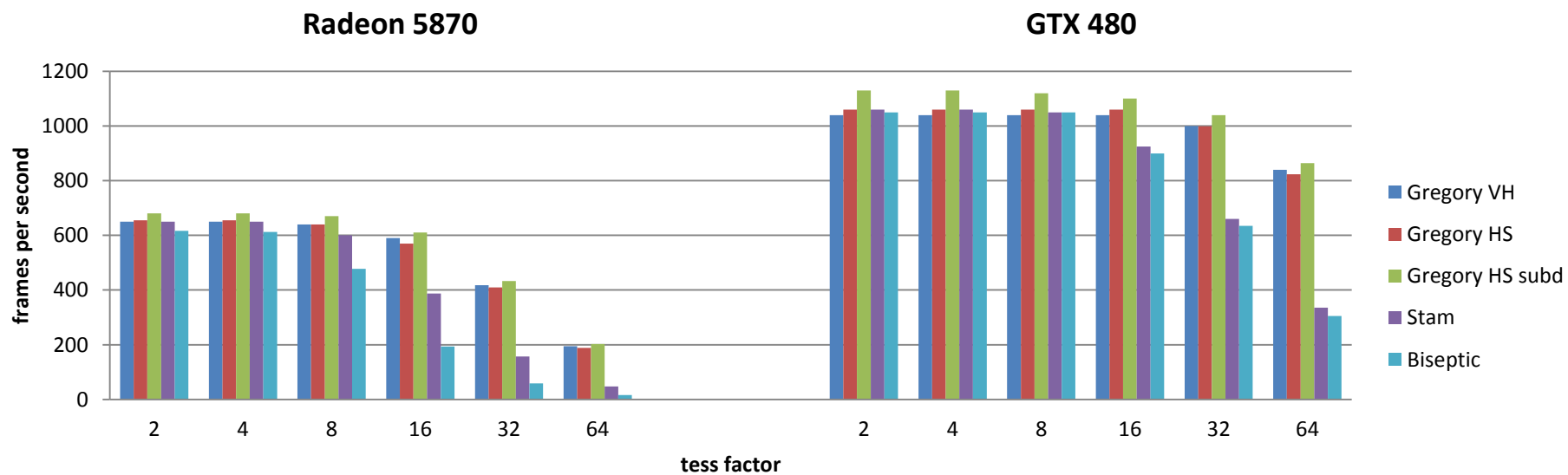
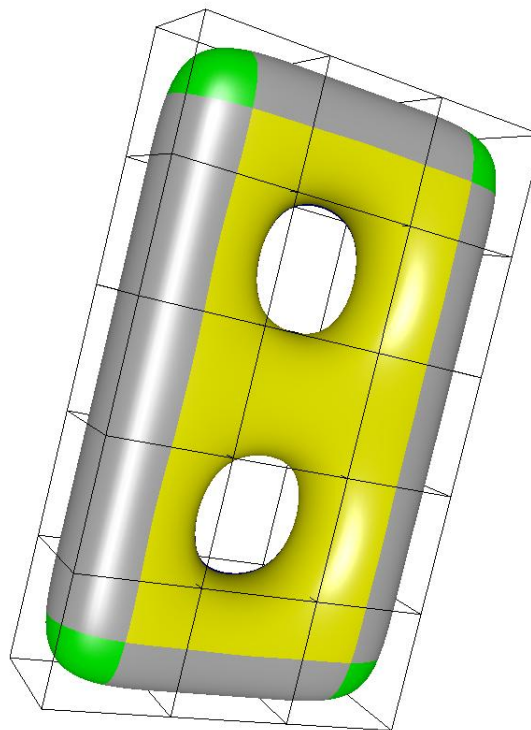
Subdivision Stage



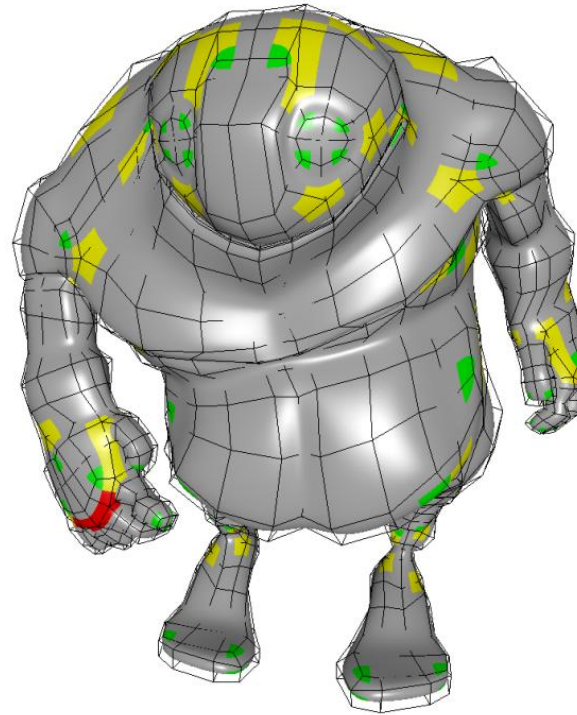
GPU	Twohole	Bigguy	Cat
Radeon 5870	0.30	0.31	0.35
GTX 480	0.33	0.36	0.48

time in milliseconds

Twohole

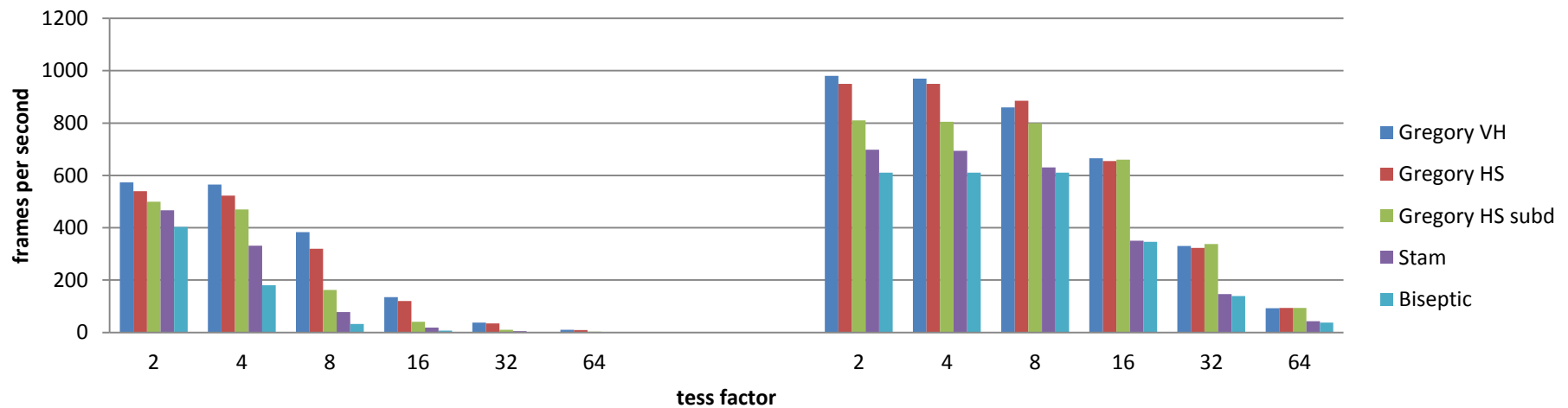


Bigguy

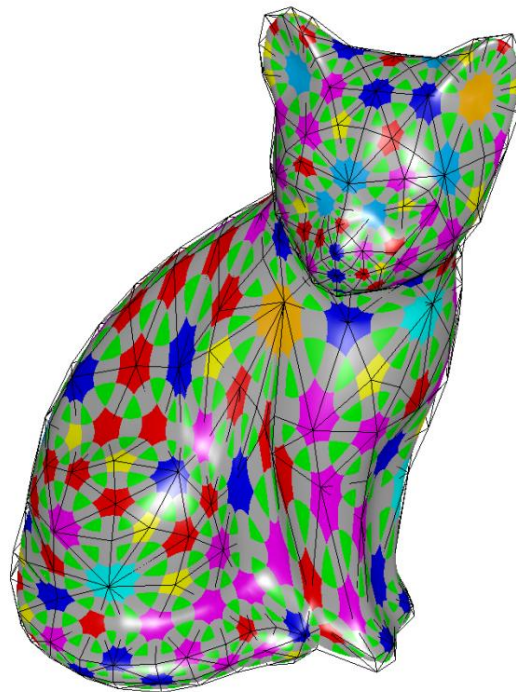


Radeon 5870

GTX 480

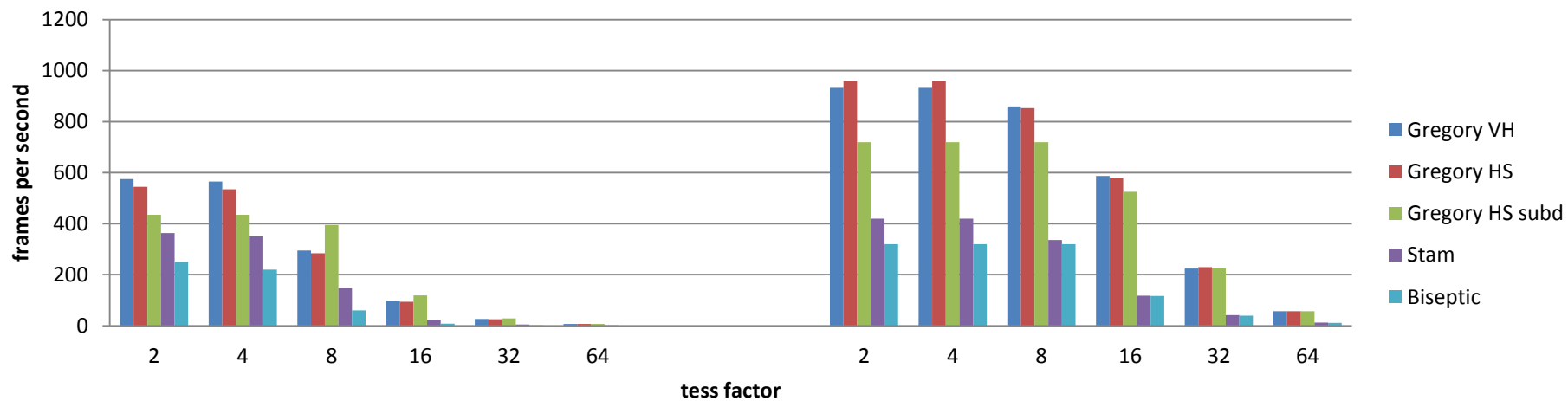


Cat



Radeon 5870

GTX 480



Conclusions

- Approximation is fastest
 - ideal for displacement mapping
- GPU mesh subdivision is fast
 - memory needs impractical for deep subdivision
 - tessellation big win for dense sampling
- Hybrid subd/tess is interesting
 - complexity reduction
 - speed/accuracy/quality knob

Thanks!